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**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)
NPA009US

Applicant, Patentee, or Identifier: Silverbrook Research Pty Ltd

Application or Patent No.: _____

Filed or Issued: May, 2000

Title: METHOD AND SYSTEM FOR DELIVERY OF A SECURE DOCUMENT

I hereby state that I am

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Silverbrook Research Pty. Ltd.

ADDRESS OF SMALL BUSINESS CONCERN 393 Darling Street, Balmain, NSW 2041, Australia

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Kia Silverbrook

TITLE OF PERSON IF OTHER THAN OWNER _____

ADDRESS OF PERSON SIGNING 393 Darling Street, Balmain, NSW 2041, Australia

SIGNATURE  DATE May 16, 2000

09575165-052300

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☒ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number NPA009US

First Named Inventor Kia Silverbrook

COMPLETE IF KNOWN

Application Number /

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND SYSTEM FOR DELIVERY OF A SECURE DOCUMENT

the specification of which

(Title of the Invention)

☒ is attached hereto
OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PQ0559 PQ1313	Australia Australia	05/25/1999 06/30/1999	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
				<input checked="" type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →



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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☐ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar Code
Label here

Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label 24011 OR ☐ Correspondence address below

Name	Kia Silverbrook				
Address	Silverbrook Research Pty Ltd				
Address	393 Darling Street				
City	Balmain	State	NSW	ZIP	2041
Country	Australia	Telephone	61-2-9818-6633	Fax	61-2-9818-6711

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])			Family Name or Surname		
Kia			Silverbrook		
Inventor's Signature					Date
Residence: City	Balmain	State	NSW	Country	Australia
Post Office Address	393 Darling Street				
Post Office Address					
City	Balmain	State	NSW	ZIP	2041
Country	Australia				

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Paul				Lapstun			
Inventor's Signature	<i>Paul Lapstun</i>					Date	May 16, 2000
Residence: City	Rodd Point	State	NSW	Country	Australia	Citizenship	Norwegian
Post Office Address	13 Duke Avenue						
Post Office Address							
City	Rodd Point	State	NSW	ZIP	2046	Country	Australia
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

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09575161052300

In the United States Patent and Trademark Office

Docket Number: NPA009US

Application filed: 23 May 2000

Applicant: Silverbrook Research

Declaration in Support of Accompanying Petition to Make Special

In support of the accompanying Petition to Make Special, applicant declares as follows:

1. Silverbrook Research is the applicant in the above identified patent application.
2. The present invention is part of a multi-faceted effort to develop a new form of information distribution. The system, collectively called "Netpage", has the potential to substantially reduce energy use, transportation requirements, and paper use, giving environmental benefits such as reduction of carbon emissions, maintenance of biodiversity, and a reduction in pollution. These benefits fall under two reasons to make special under MPEP Sec. 708.02, as explained below.

Reason V – Enhancement of Environmental Quality

Netpage can significantly reduce the use of paper in many industries. Some examples are:

- Approximately 40% of all magazines printed remain unsold or are otherwise junked before they reach a reader. This inefficiency is inherent in the 'print and distribute' system that must be used by commercial printers due to the current absence of a viable digital home magazine printing technology. Most magazines also have many more pages than are likely to be read. Netpage allows only those pages which are of interest to the reader to be printed. This number of pages will vary from reader to reader, but may be around one quarter as many as are in current magazines. Thus, if Netpage is used for magazine distribution, the paper use may be reduced by around 90%.
- Newspapers – In 1986, US newspapers used 13 million tons of paper. A substantial majority of newspaper pages go unread, as they are not printed selectively for each reader. A customized newspaper need only have a small fraction of the pages of a traditional newspaper, and can therefore use proportionally less paper.
- Direct mail – the typical response rate for direct mail such as brochures and catalogs is around 2%. This means that 98% of all of the paper used in direct mail is wasted. Studies have shown that by targeting direct mail only to those who are in the appropriate demographic, the amount of paper use can be reduced to around 10% of a 'scattershot' approach. Netpage uses extensive demographic interest profiles to allow efficient delivery of direct mail to only those people who are likely to be interested.
- Netpage prints on both sides of the page simultaneously. This almost halves the amount of paper used compared to single sided printing, as is typically used with computer printers.

Reason VI – Energy Savings

The energy savings from a reduction in paper use are substantial. The primary energy cost of manufacturing paper in 1998 was around 17.8 gigaJoules per tonne [1]. Using this figure, the 13 million tons of paper used by US newspapers in 1986 would have required around 230 petaJoules to manufacture. This is equal to a continuous consumption of 7.4 gigaWatts over the year.

If the amount of paper in those newspapers was halved by using the Netpage system disclosed herein, the power savings in the US would be around 3.7 gigaWatts, equal to the output of about four full scale nuclear, coal, or natural gas electricity generating plants.

Similar energy savings can be achieved for magazines, direct mail, and other printed media.

Netpage can also operate as a 'web browser' using the interactive paper technology developed as part of this project. Most of the everyday functions of current computer based web browsers can be achieved using interactive paper, reducing the need for personal computers to operate as browsers.

The Memjet™ printing technology invented for this project has an energy consumption of around 120 nanoJoules per printed drop, compared with several microJoules per drop for thermal inkjet technologies. This dramatic reduction in energy allows a Netpage printer to consume typically less than 50 Joules to print a full color double sided sheet of paper. Unlike a computer screen, once the page is printed, there is no further energy consumption to keep the images displayed.

The Netpage printer goes into 'sleep' mode when not printing, with very low power consumption.

By comparison, estimates of the annual energy use of a household personal computer range from 130 to 262 kilowatt-hours (468 to 943 megaJoules) per year [2]. This is sufficient energy for a Netpage printer to print around 24 million pages.

However, a PC based web browser does not require sheets of paper to operate. For a balanced comparison with PCs, the energy cost of manufacturing this paper must be taken into account. An energy cost of 17.8 gigaJoules per tonne of paper equates to 53.69 kiloJoules per letter sized 50 GSM sheet. Including the 50 Joules required for a Netpage printer to print the sheet results in a total of 53.74 kiloJoules.

A magazine-quality double-sided sheet of paper can display around 10 computer screens worth of information. The time taken for someone to read 10 screens of information is approximately 10 minutes. A typical desktop personal computer consumes around 200 Watts, so 10 minutes operation results in an energy consumption of 120 kiloJoules. Therefore, the energy cost of both manufacturing the paper sheet and printing it may be around half the energy used in reading the equivalent information on a computer screen.

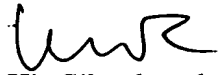
As computers currently consume around 2% of the entire US electricity supply [2], and as internet access is a major and growing application of computers, substantial energy savings are possible by replacing the PC with more energy efficient means of internet access.

[1] Paper Federation of Great Britain

[2] Statement of Jay E. Hakes, Administrator, Energy Information Administration, Department of Energy, before the Subcommittee on National Economic Growth, Natural Resources, and Regulatory Affairs, Committee on Government Reform, United States House of Representatives, February 2, 2000

I further declare that all statements made herein of my own knowledge are true and that all statements made upon information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application and any patent issuing therefrom.

Very respectfully,



Kia Silverbrook

Silverbrook Research
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Balmain NSW 2041
Australia